



FORM PTO-1449  
(Equivalent)

U.S. Department of Commerce  
Patent and Trademark Office

U.S. Application Serial No.  
10/071,869

Atty. Docket No.  
AM-6846

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Yixing Lin et al.  
Applicants

February 8, 2002  
Filing Date

Unknown  
Group

RECEIVED  
AUG 20 2003  
GROUP 1700

U. S. PATENT APPLICATION DOCUMENTS

Examiner <u>Initial</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Filing Date <u>If Appropriate</u>
_____	2001/0019777A1	09/06/01	Tanaka et al.	B32B	15/20	02/02/01

FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Translation <u>If Appropriate</u>
_____	EP 1138793	03/23/01	Arlt et al.	C22C	21/06	Abstract
_____	JP 10088271	04/07/98	Hattori Kei	C22C	21/06	Abstract

Examiner \_\_\_\_\_ Date Considered \_\_\_\_\_

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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\_\_\_\_ I. Lerner et al., "An Electrochemically Sealed Al<sub>2</sub>O<sub>3</sub> Passivation Layer for Aluminum Alloys",  
*J. Electrochem. Soc.*, Vol. 129, No. 9, pp. 1865-1868 (1982).

\_\_\_\_ A. K. Mukhopadhyay et al., "The Influence of Constituent Particles on the Quality of Hard Anodic  
Coatings on Fully Heat Treated AA 7075 Extrusion Products", *Materials Science Forum*, Vols. 217-222,  
pp. 1617-1622 (1996).

\_\_\_\_ J. Timm et al., "Influence of iron- and silicon-containing phases on the anodization behavior", *Eff. Iron  
Silicon Alum. Its Alloys, Proce. Int. Workshop*, pp. 219-232 (1990).

\_\_\_\_ "Metals Handbook", Ninth Edition, American Society for Metals, pp. 585-597 (1982).

\_\_\_\_ Copy of Search Report in PCT Application No. PCT/US03/03558, mailed July 1, 2003.

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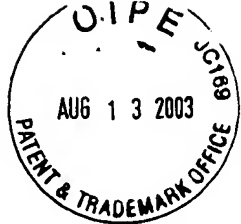
Examiner

Date Considered

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08-15-03

Express Mail No. EU313733847US  
Attorney Docket No.: AM-6846

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yixing Lin et al.

SERIAL NO.: 10/071,869

FILED: February 8, 2002

FOR: HALOGEN-RESISTANT, ANODIZED ALUMINUM  
FOR USE IN SEMICONDUCTOR PROCESSING  
APPARATUS

§ GROUP ART UNIT: Unknown

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§ EXAMINER: Unknown

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§ Attorney Docket No.:

§ AM-6846

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GROUP 1700

Date: August 13, 2003

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

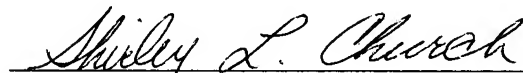
Applicants are submitting the subject Supplemental Information Disclosure Statement under 37 CFR § 1.97(b)(3). This Supplemental Information Disclosure Statement is being submitted prior to the receipt of a first Office Action in the subject application. This Supplemental Information Disclosure Statement is accompanied by a statement under 37 CFR § 1.97(e)(1).

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**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10**

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. EXPRESS MAIL NO. EU313733847US in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: August 13, 2003

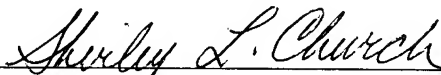
  
Shirley L. Church, Reg. No. 31,858

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Applicants do not believe that any fee is due in connection with the filing of this Supplemental Information Disclosure Statement under 37 CFR § 1.97(b)(3), because it is being submitted prior to applicants' receipt of a first Office Action in the subject application. If an Office Action has been mailed that applicant has not yet received, applicants do not believe that any fee is due in connection with the filing of this Supplemental Information Disclosure, due to the statement under 37 CFR § 1.97(e)(1) which accompanies it. However, in the event that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 50-1512 of Shirley L. Church, Sunnyvale, California, in the amount of such fee.

This transmittal letter is submitted in duplicate for accounting purposes.

Respectfully submitted,

  
\_\_\_\_\_  
Shirley L. Church  
Registration No. 31,858  
Attorney for Applicants

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